

## **Thermodynamics**

is the science which deals with systems (I.e. delimited portions of matter) which undergo any form of energy exchange.

It arose from the studies on the first thermal machines (steam engines etc.) at the beginning of XIX century.

Within few decades it was extended to describe any form of process, including chemical and then biological transformations.

At the half of XX century mathematicians observed that thermodynamics is also able to describe information exchanges, so it is now the basis for information technology.

Thermodynamics was early derived from empirical observations on objects, and from very simple mathematical models which described the transformations they undergo.

It is based upon four “Laws”, any of which can be formulated in many ways, in plain language or (more usually) in mathematical form.

The First and the Second Law were formulated by several scientists more or less at the same time (1845 -1855).

After some decades the Third Law was introduced.

As in modern thermodynamics it is recognized that a fourth law is necessary (although formerly given as implicit) to justify the first two, it was given the name of Zeroeth Law

Here are the common enunciations of the four laws. Although even in plain language they appear quite exoteric, what is worth in our discussions is just to understand that they have proven to be some of the most powerful tools to understand nature.

0

If three or more systems are in thermal contact with each other, and all in equilibrium together, then any two taken separately are in equilibrium with one another.

1

In any process, energy can be changed from one form to another, but it is never created or destroyed.

2

*According to Rudolf Clausius:*

"It is impossible for a self-acting machine, unaided by external agency, to convey heat from a body at one temperature to another body at a higher temperature."

*According to William Thomson, Lord Kelvin:*

"It is impossible by a cyclic process to take heat from a reservoir and convert it into work without, in the same operation, transferring heat from a hot to a cold reservoir."

3

Entropy of a perfect crystal at 0 K is zero.

*First and Second Law are often quoted by the famous Clausius' sentence:*

Die Energie der Welt ist konstant.  
Die Entropie der Welt strebt einem Maximum zu.

**Internal Energy** is defined as the function  $U$ , such that for any transformation on a system:

$$\Delta U = Q - L$$

when  $Q$  is the heat exchanged and  $L$  is the work done.

**Entropy** has originally been defined as the function  $S$ , such that

$$dS = \frac{dQ}{T}$$

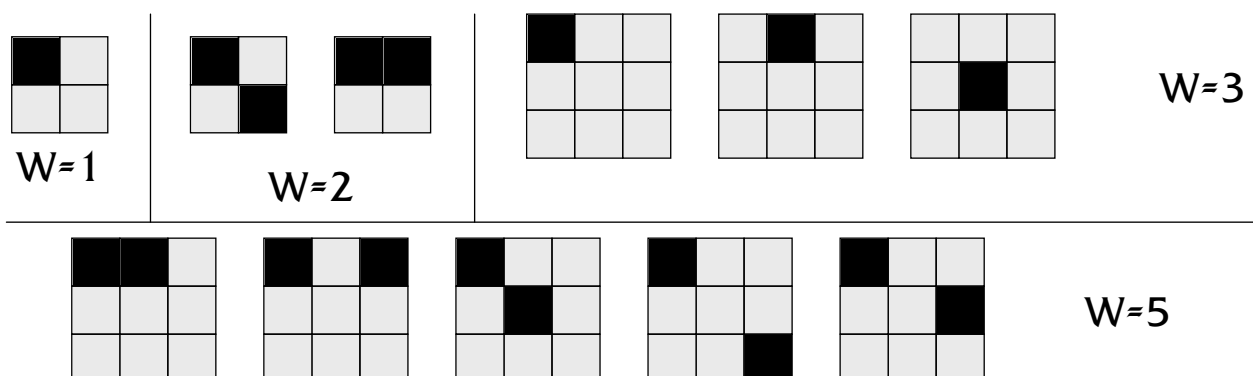
if  $dQ$  is the heat which is exchanged in a microscopically reversible process, and  $T$  is the temperature.

This "Clausius' definition" derives from the study of thermal machines and heat exchangers after the works of Carnot, Joule, Hess, Kelvin etc.

A completely different equation, but perfectly equivalent to the former, is the following one, due to Boltzmann :

$$S_W = k \ln W$$

where the entropy of a system is defined in terms of the number of different states which a system can assume:



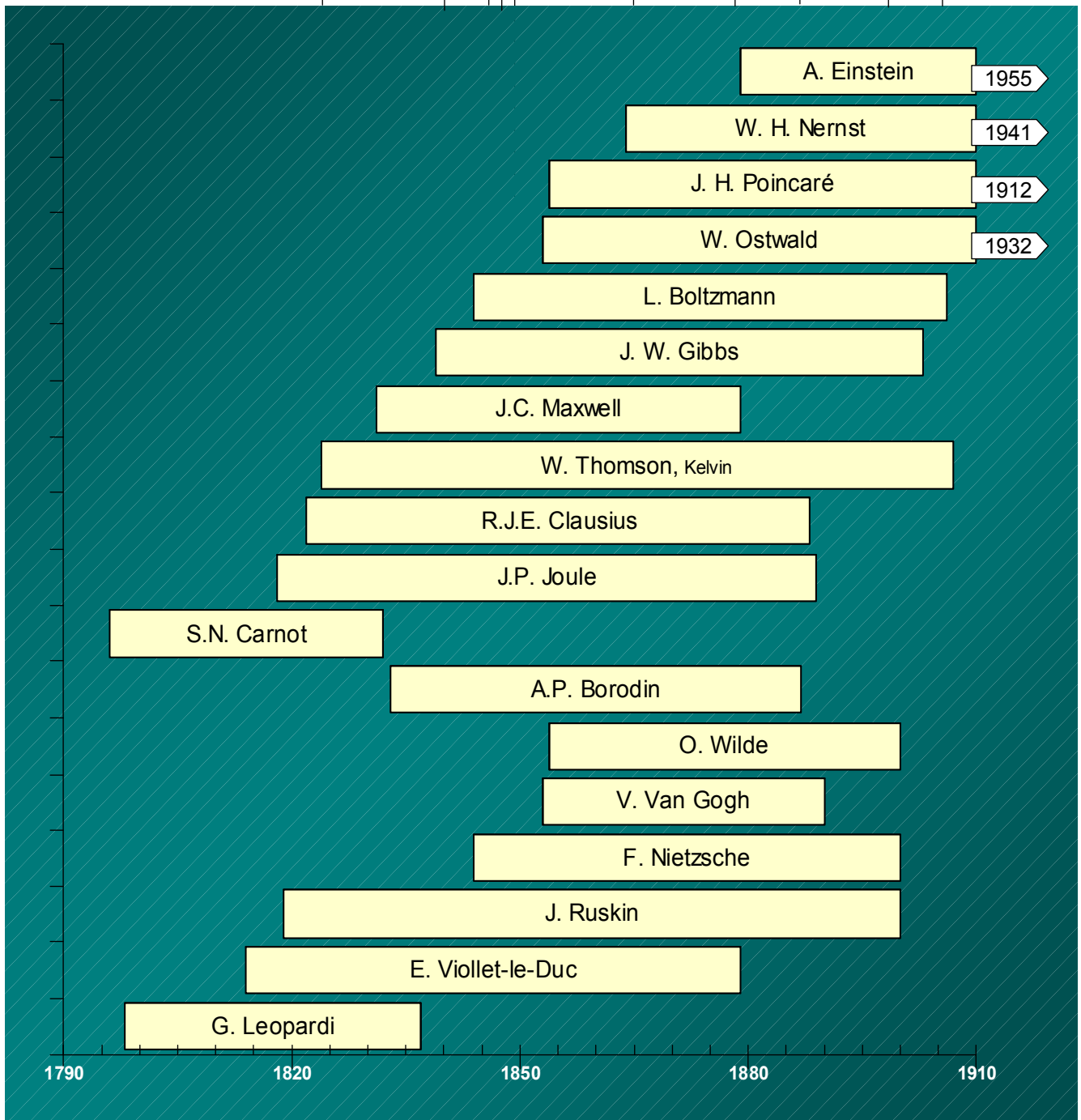
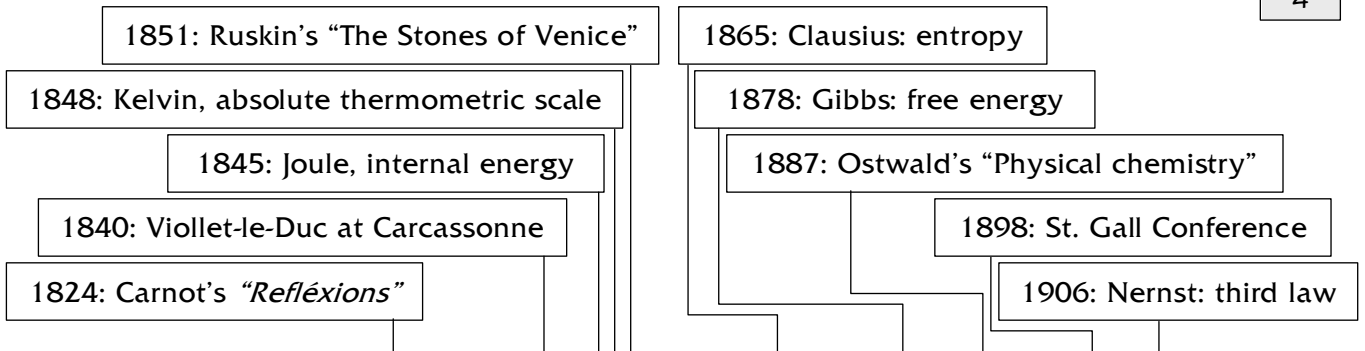
Entropy is then also a measure of *disorder*.

The number of atoms in any piece of matter which is visually detectable is in the order of *billions of billions*.

# Reversibility: Dealing with A Ghost

Sergio Palazzi

4



*When you can measure what you are speaking about  
and express it in numbers  
you know something about it;  
but when you cannot measure it,  
when you cannot express it in numbers  
your knowledge is of a meagre  
and unsatisfactory kind*

*Lord Kelvin, 1883*

Since the beginning of culture, sciences and humanities  
have been walking together,  
speaking similar languages.

The Old Alliance seems to be broken  
since XVIII century,  
as Western science began to speak in numbers,  
to quantify results, to search for objectivity.

This has meant an extraordinary acceleration  
and great advantages for mankind.  
But their results very often appeared too cold  
and far from common experience and passions.

Artists and philosophers have largely  
rejected the mathematical approach.  
Both idealists and irrationalists are often  
even *proud* to ignore quantitative sciences.

## ...with A Ghost?

...the personification of an idea  
Of a desire  
Of a fear  
Of the consequences of evil...

...Mathematical models  
Chemical formulæ  
Physical theoretical experiments  
Moral allegories...

we are rather used to dealing with ghosts;  
some of them can be really useful  
*as we know that they are just in our minds.*

Reversibility was already a ghost in natural sciences  
before this word was born in conservators' speech.  
However, its believers are making great efforts because of it.

It's true that being afraid of it can prevent us from mistakes,  
but it also encourages superstitious irrationality.

The starting point of the “reversibility principle” in the science of conservation (XIX century):

avoiding *definitely irreversible mistakes* caused by wrong or arbitrary treatments (I.e.: radical cleaning, “as it was” reconstructions, using of poorly experienced modern materials).

As early as 1898, the St. Gall Conference on manuscript preservation stated some empirical and flexible criteria, *to be continuously reconsidered* about what we presently call ‘reversibility’.

Main courses of the debate on reversibility during the first half of our century:

*Architecture*

*Urban environment*

*Anastylosis*

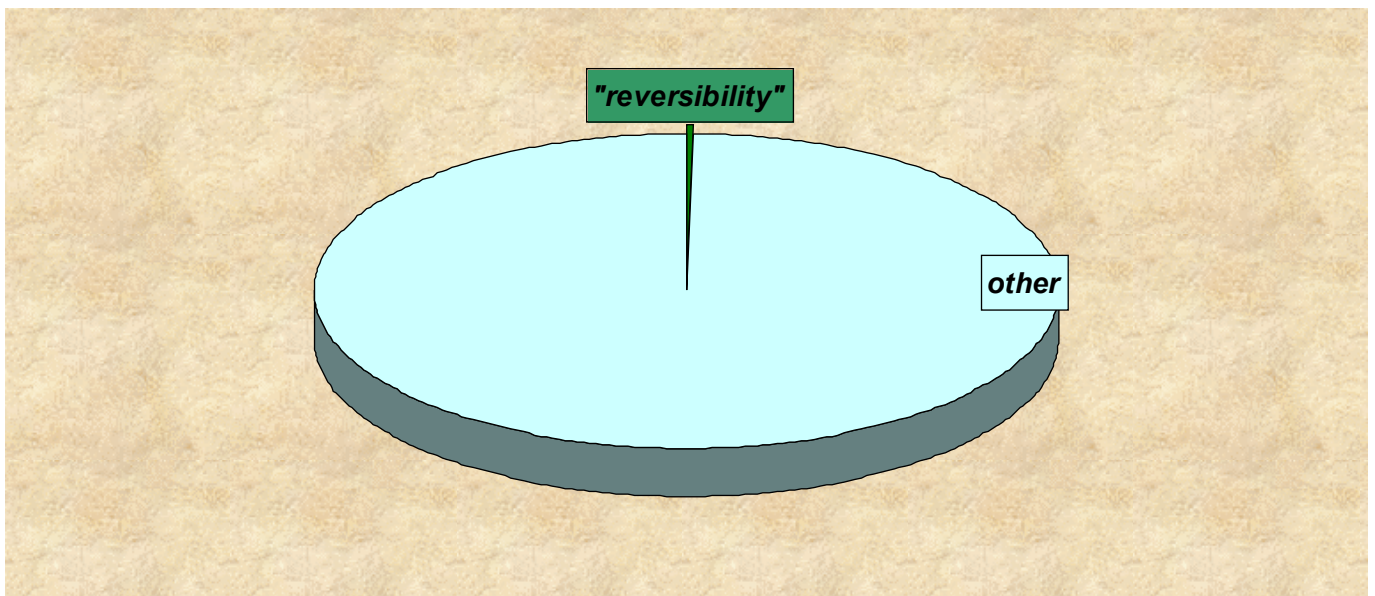
*Surface finishings and patina...*

So: aesthetic and philological issues mostly concerning what an object *means* or how it does *appear*, not what an object *is*.



And now?

From 1992 to 1997  
only 60 of 17093 published AATA  
have “reversibility” among the key words.  
Theoretical debate has practically stopped  
during last decade.



Does it mean an achieved consciousness,  
or just hiding one's head in the sand?



**Any action, *including no action*, causes an irreversible modification, to a greater or lesser extent, to the condition of any given object.  
Opinions which ignore this must be regarded as non-serious.**

*Spontaneous changes may occur because of:*

- chemical degradation of intrinsically unstable materials;
- chemical, photochemical and biological aggression;
- weathering, thermal deformations, soiling;
- unbalanced mechanical tensions inside the object;
- etc.

The theory of *no intervention at all* is mystifying:  
The river doesn't stop as we sit and wait on the bank.  
Nature does not follow our desires.  
And no man's action is reversible.

**A large family of operations is in itself irreversible:  
cleaning, dirt removal, disinfecting, paper deacidification,  
drying of waterlogged objects, etc.**

In everyday life, man-made artefacts are designed to be used as long as they are submitted to periodic maintenance and renewal.

- Should we avoid any form of cleaning or maintenance, even when this is fully justified by conservation needs and by a correct preventative design?

*Or, according to a different integralism:*

- . Should we collect any bug along with any rug? i.e., should we preserve any alteration caused by former degradation, sooting, negligence or bad restorations?

An ancient hi-tech artefact like a Gothic building, as much as a modern engine, can only survive thanks to the endless work of technicians. They not only accept but strictly require the irreversible substitution of degraded components with new ones, which are both an original and a copy.

**Archaeological excavation is always irreversible,  
and often crucial for future study and preservation.**

If an object has been preserved for a long time,  
it must have reached an equilibrium state  
with surrounding environment.

There are few things which can interfere,  
In such an irreversible way,  
with the story and the informations  
carried by an object,  
as the simple archaeological excavation  
even when followed by immediate reburial.

- How many sites, discovered during XIX and XX century,  
have been completely lost because of negligence,  
rave tourism, war actions?

*But:*

- How can dogmatic supporters of *total reversibility*  
allow with archaeological excavations?

**The word reversibility concerns actions, not materials.  
Materials should be referred to as, for example:  
removable, long-term compatible,  
not interfering with analysis or future treatments.**

The lack of a clear definition of a problem  
(possibly given by *figures*)  
- or the simple misunderstanding  
of the meaning of a word -  
will surely prevent us  
to find a correct solution for that problem.

Good examples proposed by German scientists  
dealing with architectural conservation:  
*Wiederreparierbarkeit, Wiederkonservierbarkeit,  
Wiederrestaurierbarkeit, Wiederrenovierbarkeit,  
Wiederergänzbarkeit...*

**Chemical long-term compatibility between materials, or between a substrate and a solvent used to dissolve it, is needed but never sufficient.**

Chemical long-term compatibility is always a *conditio sine qua non*, but only seldom is it sufficient.

Unforeseen degradation paths may arise:

... from differences in:

- water vapour permeability
- thermal expansion coefficients
- electrical conductivity;

... from phase separation after migration

... from unexpected glass transition

... etc.

And it is different to wash a resin away from a small and polished marble test sample or from the brittle surface of a marble relief.

Material science, the natural conjunct evolution of engineering and physical chemistry, can afford very useful hints.

**No treatment should restrict an object's long-term preservation, i.e. reduce its former life expectancy.**

This must be an incontrovertible position. No one concerned with relics from the past can arbitrarily decide to subject them to treatments by which they can positively be damaged, neither through carelessness nor for commerce.

*Storage, handling or exhibition are often the most dangerous 'treatments'.*

Incompetence in programming these actions can negate any other conservation procedure.

*Patina integralism* should however be avoided.

Chemical experimentation often requires the sacrifice of non-valuable remains.

A prudential possible guideline could be:  
deriving testing procedures  
from clinical *in vivo* protocols.

**When irreversible treatments are the sole way to save a perishing object, applied materials must have at least a longer life expectancy than the substrate.**

It is always wrong to suggest a totally 'irreversible' treatment as the starting point of a conservation procedure.

But in many situations we have to admit that it would be impractical or even impossible to use so-called 'reversible' treatments.

This requires an accurate evaluation of possible alternative materials and procedures and of their interactions with the substrate, always considering the foreseeable permanence of each one as a primary issue.

Emergency rescue procedures should be conducted by well-trained operators.



**Potentially long-term dangerous, provisional treatments must be avoided if artefacts are not to be retreated within a fixed date.**

In the case of emergency after a sudden disaster, even properly defined and executed treatments could not guarantee complete recovery.

But when there is enough time:

- to plan the activity
- to raise funds
- to know how deep and extended a conservation project can be...

... any act capable of endangering an artefact must be avoided *if there is any reasonable doubt* that the conservation work will not be completed, *and surely within the scheduled time.*

Nobody knows how many monuments, human remains, waterlogged wooden objects or flooded books have been lost because of the haste to 'recover' them, sometimes in front of a TV camera.

**In many cases, the simple identifiability of a treatment could be enough, provided that it is not altering aesthetic and historical meanings and that faking could be excluded.**

It is impossible to preserve everything in the same optimal conditions, not only because of limited resources, but also on the ground of the second law. We have to limit our pursuit of perfection. Less significant materials do not always justify state-of-the-art procedures aimed to the best long term preservation or to a complete re-treatability

The fact that a treatment is identifiable (its *recognizability*) should not mean a permanent disfiguration. It is however important to define criteria which can exclude forgery or fraud; e.g. by the choice of undoubtedly modern materials.

**The widespread diffusion of technical standards, with correctly defined, well researched and independently verified treatment protocols, should be a primary aim both to reasonably define treatment potential and to avoid arbitrariness.**

Standardization is not a panacea, but it ensures that what one is doing *in common practice* has been at least tested and verified by others, and that a minimum agreement on what is objectively acceptable has been achieved, often after keen discussions.

Standard procedures should be, as far as possible, expressly developed for cultural heritage protection, not merely adapted from industrial standards: the needs are often very different from those for which the standards were conceived.

Knowing when and how a treatment or a product can be confidently used will reduce the need to evoke ghosts to guide or to freeze our actions.

## The word 'reversibility' could be irreversibly banned.

We also can decide to use the name of the ghost  
to designate some generic principle,  
if we're sure that it will keep aware  
superstitious bleeding hearts.

But it is really necessary?

Modern thermodynamics has closed the circle,  
and sciences and humanities are reaching a new common path.  
Reality may be more charming than ghosts.

Now we know that we can preserve  
**some** materials and information from the past  
but at the cost of losing a greater amount  
of energy and information.

We have to choose, not hide our heads in the sand.

Irreversibility is no more the companion  
of the decadent hero, selfish and unpleasant,  
looking at the end of its world.

**It turns us into ashes, but also ashes into us.**

Let's keep our own responsibilities,  
and enjoy the real world.

*This paper is dedicated*

*to Alice Palazzi*

*on her first birthday*

*Sept. 8, 1999*

